

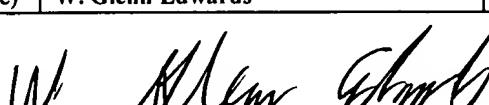
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1133 U.S. PTO08/17/01  
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PTO/SB/05 (08-00)

Approved for use through 10/31/2002 OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))		Attorney Docket No. 20386/305 First Inventor John E. Gunderman Title WIRE CAGE FOR NURSERY ITEMS Express Mail Label No. EM388592493US			
<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Assistant Commissioner for Patents: Box Patent Application Washington DC 20231			
1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)  2. <input checked="" type="checkbox"/> Applicant claims small entity status. (See 37 CFR 1.27)  3. <input checked="" type="checkbox"/> Specification Total Pages (13) (preferred arrangement set forth below) <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to Microfiche Appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets (7) 5. <input type="checkbox"/> Oath or Declaration <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Partially executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d))               <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u>                  Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</li> </ul> </li> </ul> 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76				7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary) <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Copy</li> <li>b. Specification Sequence Listing on:               <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> Paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statements verifying identity of above copies</li> </ul>	
				<b>ACCOMPANYING APPLICATION PARTS</b>	
				9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (when there is an assignee) 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Other: _____	
17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheets under 37 CFR 1.76:				<input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP)    of prior application no.: _____ Prior application information: Examiner: _____ Group / Art Unit: _____	
For CONTINUATION or DIVISIONAL only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.					
<b>18. CORRESPONDENCE ADDRESS</b>					
<input type="checkbox"/> Customer No. or Bar Code Label  (insert Customer No. or Attach bar code label here)				or <input type="checkbox"/> Correspondence address below	
Address		Oppenheimer Wolff & Donnelly LLP 45 South Seventh Street, Suite 3300			
City	Minneapolis	State	Minnesota	Zip Code	55402-1609
Country	US	Telephone	612.607.7278	Fax	612.607.7100
Name (Print/Type)	W. Glenn Edwards		Registration No. (Attorney/Agent)	44,426	
Signature			Date	August 16, 2001	

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$355.00)

## Complete if Known

Application Number	
Filing Date	Herewith
First Named Inventor	John E. Gunderman et al.
Examiner Name	
Group Art Unit	
Attorney Docket No.	23086/305

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	50-1901
Deposit Account Name	Oppenheimer, Wolff & Donnelly LLP

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
101 710	201 355	Utility filing fee	\$355
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	
<b>SUBTOTAL (1)</b>		<b>(\$)</b>	<b>355.00</b>

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
16	-20** = 0	x \$0	= 0
Independent Claims	3 - 3** = 0	x \$40	= 0
Multiple Dependent			= 0

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		<b>(\$)</b>
		0

\*or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES	Fee Description	Fee Paid
Large Entity	Small Entity	
Fee Code (\$)	Fee Code (\$)	
105 130	205 65	Surcharge - late filing fee or oath
127 50	227 25	Surcharge - late provisional filing fee or cover sheet
139 130	139 130	Non-English specification
147 2,520	147 2,520	For filing a request for ex parte reexamination
112 920*	112 920*	Requesting publication of SIR prior to Examiner action
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action
115 110	215 55	Extension for reply within first month
116 390	216 195	Extension for reply within second month
117 890	217 445	Extension for reply within third month
118 1,390	218 695	Extension for reply within fourth month
128 1,890	228 945	Extension for reply within fifth month
119 310	219 155	Notice of Appeal
120 310	220 155	Filing a brief in support of an appeal
121 270	221 135	Request for oral hearing
138 1,510	138 1,510	Petition to institute a public use proceeding
140 110	240 55	Petition to revive - unavoidable
141 1,240	241 620	Petition to revive - unintentional
142 1,240	242 620	Utility issue fee (or reissue)
143 440	243 220	Design issue fee
144 600	244 300	Plant issue fee
122 130	122 130	Petitions to the Commissioner
123 50	123 50	Petitions related to provisional applications
126 240	126 240	Submission of Information Disclosure Stmt
581 40	581 40	Recording each patent assignment per property (times number of properties)
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))
179 710	279 355	Request for Continued Examination (RCE)
169 900	169 900	Request for expedited examination of a design application
Other fee (specify) _____		

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

Name (Print/Type)	W. Glenn Edwards	Registration No. (Attorney/Agent)	44,426	Telephone	612-607-7278
Signature	W. Glenn Edwards			Date	8/16/01

WARNING: Information in this form may become public. Credit card information should not be included in this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

UTILITY PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : John E. Gunderman et al.

Ser. No. :

Filed :

Title : WIRE CAGE FOR NURSERY ITEMS

Docket No : 20386/305

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CERTIFICATE OF EXPRESS MAIL

EXPRESS MAIL Mailing Label Number: EM388592493US

Date of Deposit: August 16, 2001

I hereby certify that these papers and fee(s) are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Commissioner for Patents and Trademarks, Washington, D.C. 20231.

Paper(s) Enclosed:

- Certificate of Express Mail
- Postcard
- Patent Application Transmittal Letter
- Complete Patent Application

Specification 9 pages  
Claims 3 pages  
Abstract 1 page

- Fee Transmittal
- Copy of a partially executed Declaration and Power of Attorney

By:

  
Ann Pommier

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